

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Joel KAUFFMAN *et al.*

Serial No.: 10/565,414

Filed: January 23, 2006

For: COMPOUNDS THAT ABSORB
ULTRAVIOLET LIGHT, METHODS OF
THEIR PREPARATION AND OPTICAL
LENSES CONTAINING THEM

Patent No.: 7,713,452

Issue Date: May 11, 2010

Atty. Dkt. No.: ESSR:103US

Confirmation No.: 6229

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this correspondence is being
electronically filed with the United States Patent and
Trademark Office via EFS-Web on the date below:

February 7, 2011

Date

Michael R. Krawzsenek

PETITION UNDER 37 C.F.R. § 3.81(b)
TO CORRECT ASSIGNEE IN ISSUED PATENT

MAIL STOP PETITION

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 3.81(b) and MPEP § 1481, Applicants respectfully request the
grant of this petition to correctly depict the assignees' names on the above-referenced patent.

Due to a clerical error, Applicants' representative inadvertently entered incorrect assignee information under item 3 of Form PTOL-85B at the time of payment of the Issue Fee. Only the name of the assignee, Essilor International Compagnie Generale d'Optique, was included on the Issue Fee Transmittal form. However, only two of the three inventors assigned their rights. Applicants' representative inadvertently omitted the name of the inventor, Joel KAUFFMAN, who retains an ownership interest in this patent.

The Notice of Recordation of Assignment Document indicates that an Assignment from Assignors Peter LITAK and Martin RICKWOOD to Assignee Essilor International Compagnie Generale d'Optique was recorded on July 31, 2007, at reel/frame 019626/0903, before issuance of this patent. A copy of this document is attached hereto as Appendix A.

Joel KAUFFMAN, the first listed inventor, did not assign his rights to an entity and therefore maintained ownership rights in the present invention.

Therefore the correct names of the assignees should appear as follows:

Essilor International Compagnie Generale d'Optique
147 rue de Paris
Charenton Le Pont, France 94220

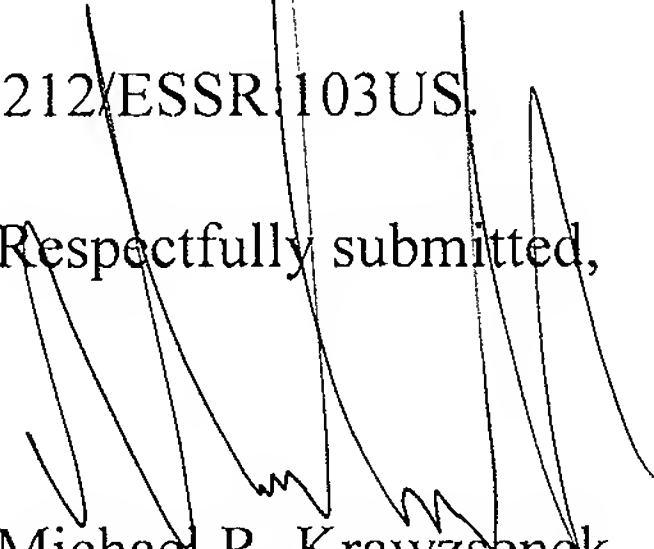
Joel KAUFFMAN
65 Meadowbrook Road
Wayne, Pennsylvania 19087

It is requested that, if this petition is granted, the file be forwarded to the Certificate of Correction Branch for issuance of an appropriate Certificate of Correction.

In accordance with 37 C.F.R. §§ 1.17(i) and 1.20(a), a petition fee in the amount of \$130.00 and a certificate of correction fee in the amount of \$100 are being charged to a credit card through EFS-Web concurrently with this submission. If the payment is inadvertently

omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Fulbright & Jaworski Deposit Account No. 50-1212/ESSR:103US.

Respectfully submitted,


Michael R. Krawzsenek
Reg. No. 51,898
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Ave, Ste. 2400
Austin, Texas 78701
512.536.3035 (voice)
512.536.4598 (fax)

Date: February 7, 2011

APPENDIX A

TO: MARK B. WILSON COMPANY: 600 CONGRESS AVE.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 02, 2007

PTAS



500325426

MARK B. WILSON
600 CONGRESS AVE.
SUITE 2400
AUSTIN, TX 78701UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/31/2007

REEL/FRAME: 019626/0903

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: ESSR:103US

ASSIGNOR:

LITAK, PETER

DOC DATE: 06/29/2007

FULBRIGHT & JAWORSKI, LLP

ASSIGNOR:

RICKWOOD, MARTIN

DOC DATE: 03/13/2007

IPT DOCKETING

Not Req'd ☐ Confirmation ☐

ASSIGNEE:

ESSILOR INTERNATIONAL COMPAGNIE
GENERALE D'OPTIQUE
147 RUE DE PARIS
CHARENTON, FRANCE 94220Initials 1st EB Initials 2nd CA

AUG 07 2007

SERIAL NUMBER: 10565414

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: COMPOUNDS THAT ABSORB ULTRAVIOLET LIGHT METHODS OF

Attorney MBWDocket No. PT-ESSR:103US

Date Due

Noted

Status for first OA
8/28/08

USPTO

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PAGE

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TO: MARK B. WILSON COMPANY: 600 CONGRESS AVE.

PREPARATION AND OPTICAL LENSES CONTAINING THEM

019626/0903 PAGE 2

ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

TO: MARK B. WILSON COMPANY: 600 CONGRESS AVE.

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

07/31/2007
500325426

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Peter LITAK</td><td>06/29/2007</td></tr><tr><td>Martin RICKWOOD</td><td>03/13/2007</td></tr></tbody></table>		Name	Execution Date	Peter LITAK	06/29/2007	Martin RICKWOOD	03/13/2007				
Name	Execution Date										
Peter LITAK	06/29/2007										
Martin RICKWOOD	03/13/2007										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>ESSILOR INTERNATIONAL COMPAGNIE GENERALE D'OPTIQUE</td></tr><tr><td>Street Address:</td><td>147 rue de Paris</td></tr><tr><td>City:</td><td>Charenton</td></tr><tr><td>State/Country:</td><td>FRANCE</td></tr><tr><td>Postal Code:</td><td>94220</td></tr></table>		Name:	ESSILOR INTERNATIONAL COMPAGNIE GENERALE D'OPTIQUE	Street Address:	147 rue de Paris	City:	Charenton	State/Country:	FRANCE	Postal Code:	94220
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Street Address:	147 rue de Paris										
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State/Country:	FRANCE										
Postal Code:	94220										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10565414</td></tr></tbody></table>		Property Type	Number	Application Number:	10565414						
Property Type	Number										
Application Number:	10565414										
CORRESPONDENCE DATA											
Fax Number: (512)536-4598 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 512-536-3035											
Email: jbyron@fulbright.com											
Correspondent Name: Mark B. Wilson											
Address Line 1: 600 Congress Ave.											
Address Line 2: Suite 2400											
Address Line 4: Austin, TEXAS 78701											
ATTORNEY DOCKET NUMBER:	ESSR:103US										
NAME OF SUBMITTER:	Mark B. Wilson										
Total Attachments: 4 source=ESSR103US_ASSIGN#page1.tif source=ESSR103US_ASSIGN#page2.tif											

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TO: MARK B. WILSON COMPANY: 600 CONGRESS AVE.

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to ESSILOR INTERNATIONAL COMPAGNIE GENERALE D'OPTIQUE (the "Assignee"), having a place of business at 147 rue de Paris, 94220 Charenton, France, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. **10/565,414** which has been filed on **January 23, 2006** and is entitled **"COMPOUNDS THAT ABSORB ULTRAVIOLET LIGHT, METHODS OF THEIR PREPARATION AND OPTICAL LENSES CONTAINING THEM,"** which is a national phase application under 35 U.S.C. § 371 of International Application No. PCT/EP2005/014202 filed December 30, 2005, which claims priority to U.S. Provisional Application No. 60/640,506 filed on December 30, 2004, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: _____
Name: PETER LITAK

Date: _____

STATE OF _____
COUNTY OF _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2007 personally appeared PETER LITAK, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Notary or Consular Officer

[SEAL]

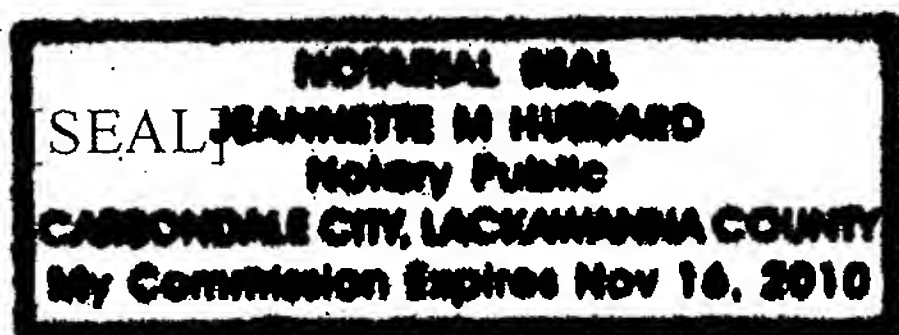
Signature: *[Signature]*
Name: MARTIN RICKWOOD

Date: March 13th 2007

STATE OF Pennsylvania
COUNTY OF Lackawanna

BEFORE ME, the undersigned authority, on this 13th day of March, 2007 personally appeared MARTIN RICKWOOD, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

Jeannette M. Hubbard
Notary or Consular Officer



FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to ESSILOR INTERNATIONAL COMPAGNIE GENERALE D'OPTIQUE (the "Assignee"), having a place of business at 147 rue de Paris, 94220 Charenton, France, their entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 10/565,414 which has been filed on January 23, 2006 and is entitled "**COMPOUNDS THAT ABSORB ULTRAVIOLET LIGHT, METHODS OF THEIR PREPARATION AND OPTICAL LENSES CONTAINING THEM,**" which is a national phase application under 35 U.S.C. § 371 of International Application No. PCT/EP2005/014202 filed December 30, 2005, which claims priority to U.S. Provisional Application No. 60/640,506 filed on December 30, 2004, such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: 
Name: PETER LITAK

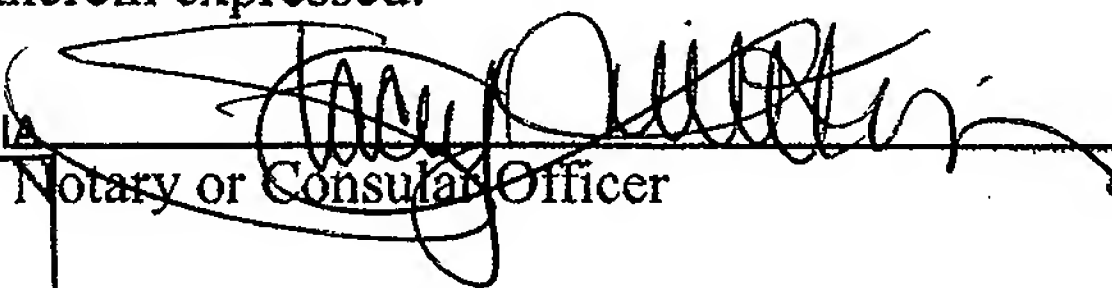
Date: 6/29/07

STATE OF PA
COUNTY OF Montgomery

BEFORE ME, the undersigned authority, on this 29 day of June, 2007 personally appeared PETER LITAK, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Stacey L. Wilkinson, Notary Public
Collegeville Boro, Montgomery County
My Commission Expires Dec. 14, 2009
Member, Pennsylvania Association of Notaries


Notary or Consular Officer

Signature: _____
Name: MARTIN RICKWOOD

Date: _____

STATE OF _____
COUNTY OF _____

BEFORE ME, the undersigned authority, on this ____ day of _____, 2007 personally appeared MARTIN RICKWOOD, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes and consideration therein expressed.

[SEAL]

Notary or Consular Officer

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701